

GAU 2874

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket  
0202/US

In Re Application Of: **HENRY ALLEN HILL, ET AL.**

Serial No.  
09/384,855

Filing Date  
AUG. 27, 1999

Examiner

Group Art Unit  
2874

Title: **INTERFEROMETERS UTILIZING POLARIZATION PRESERVING OPTICAL SYSTEMS**



Address to:

**Assistant Commissioner for Patents  
Washington, D.C. 20231**

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
  2. a Notice of Allowance under 37 CFR 1.311,
- whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

**OR**

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

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**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 03-1150 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. \_\_\_\_\_)

\_\_\_\_\_) on \_\_\_\_\_  
(Date)

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Typed or Printed Name of Person Signing Certificate

**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on 10/21/99 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

*Francis J. Caufield*  
\_\_\_\_\_  
Signature of Person Mailing Correspondence

Francis J. Caufield

\_\_\_\_\_  
Typed or Printed Name of Person Mailing Correspondence

\*This certificate may only be used if paying by deposit account.

*Francis J. Caufield*  
\_\_\_\_\_  
Signature

Francis J. Caufield  
Registration No. 27,425  
6 Apollo Circle  
Lexington, MA 02421-7025

Telephone: 781 860 5254  
Facsimile: 781 862 9464

Dated: October 21, 1999

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CC:

**CERTIFICATE OF MAILING**

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Date: October 21, 1999Signature: Francis J. CaufieldFrancis J. Caufield  
Registration No. 27,425*3/I.D.S.  
G. Stanley  
3-27-00***IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Serial No.: 09/384,855                      Art Unit: 2874  
Filed: August 27, 1999                      Examiner:  
Inventor: Henry Allen Hill, et al.  
For: INTERFEROMETERS UTILIZING POLARIZATION PRESERVING  
OPTICAL SYSTEMS

***TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT BEFORE  
MAILING OF FIRST OFFICE ACTION UNDER  
37 CFR §1.97(b)***

Assistant Commissioner for Patents  
Washington, D.C. 20231

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Sir:

It is respectfully requested that the publications listed on the attached form PTO-1449 be made of record in this application because they may be relevant to the question of patentability of the claims during their examination.

Applicant requests that these publications be considered by the Examiner before issuance of the next office action. The Examiner is also asked to initial and return copies of the enclosed PTO-1449 form(s) to evidence such consideration.

In accordance with 37 CFR § 1.98(a), copies of the cited references are being supplied with this information disclosure statement.

Respectfully submitted,

October 21, 1999

Date

*Francis J. Caufield*

Francis J. Caufield

Registration No. 27,425

6 Apollo Circle

Lexington, MA 02421-7025

Telephone: 781 860 5254

Facsimile: 781 862 9464

FJC:pc

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